

# 4th International Collective Action Conference

Mainstreaming Collective Action for fair and sustainable business

30 June – 1 July 2022 • Basel, Switzerland



with the support of

**SIEMENS**

## Meet the Practitioners – Exhibition

Come and meet Collective Action practitioners in an informal setting during the first day of the conference.

On 30 June 2022, 12:30 – 13:45 and 16:45 – 17:30, you will have the opportunity to browse the poster exhibition on the 1st floor of the conference venue and engage one-on-one with representatives from the following organisations:



### **Anti-Corruption Alliance UNCAC Chile (Alianza Anticorrupción UNCAC Chile)**

The Anti-Corruption Alliance UNCAC Chile (Alianza Anticorrupción UNCAC Chile) was created in 2012 by the Office of the Comptroller General of the Republic of Chile and the United Nations Development Programme.

The Alliance is a permanent and voluntary initiative that consists of 30 members from the public sector, private sector, civil society organisations and academia. It comprises five working groups: integrity promotion; capacity building; standards and good practices; legislative proposals; and communications.

The Alliance's main objective is to design and carry out actions to facilitate the implementation of the United Nations Convention Against Corruption (UNCAC) in Chile, such as introducing codes of ethics in the public sector, recognising good practices, legislative in-depth analysis, and making proposals to strengthen the national efforts to fight against corruption.

**Daniela Santana**  
Head of Cooperation  
and International  
Affairs, Office of the  
Comptroller General  
of the Republic of  
Chile

@AlianzaUNCAC



### **Banknote Ethics Initiative (BnEI)**

The Banknote Ethics Initiative (BnEI) is an initiative established to provide ethical business practice, with a focus on the prevention of corruption and on compliance with anti-trust law within the banknote industry.

Maintaining high ethical standards across the banknote industry is essential in order to ensure customers and other stakeholders are confident that the standards which the initiative applies to its practices are beyond reproach.

Together, BnEI can help ensure that all the companies operating in the industry are performing to the highest ethical standards. Members must adhere to a strict Code of Ethical Business Practice. All organisations that have signed the Code must become accredited after passing an audit carried out by a third-party auditor.

**Antti Heinonen**  
Chairman, Banknote  
Ethics Initiative



### **Canadian Centre of Excellence for Anti-Corruption (CCEAC)**

The Canadian Centre for Excellence for Anti-Corruption (CCEAC) is a not-for-profit organisation dedicated to the promotion of ethics, compliance, and anti-corruption measures.

It was created as an international internet platform to foster and facilitate exchange, collaboration, and collective action for the sharing of information, tools, strategies, and best practices.

**Pat Poitevin**  
Co-Founder and  
Executive Director,  
CCEAC

**Noah Arshinoff**  
Director and Legal  
Affairs Advisor,  
CCEAC



### **Infrastructure Transparency Initiative (CoST)**

The Infrastructure Transparency Initiative (CoST) is the leading global initiative improving transparency and accountability in public infrastructure. CoST works with government, industry and civil society to promote the disclosure of infrastructure project data. It validates and makes this data easy to understand through an assurance process and ensures this information reaches citizens. This helps to empower citizens to demand better infrastructure and hold decision makers to account.

The Malawi chapter of CoST has focused on developing innovative platforms that allow citizens to raise their concerns and engage with key stakeholders on issues of transparency in public procurement projects (including a toll-free SMS service). CoST Malawi has also been actively involved in the review and passing of a new Public Procurement and Disposal of Assets Act in 2017.

**Joe Chingani**  
Multi-stakeholder  
Group Chairperson,  
CoST Malawi

**Lyford Gideon**  
Project Manager,  
CoST Malawi

@costransparency



### **African Certification of Corporate Governance**

The European Chamber of Commerce (EUROCHAM) in Ivory Coast was created in 2009 at the initiative of businessmen and leaders, mostly companies and multinational groups established in Côte d'Ivoire.

This project aims to support the efforts of the Ivorian Government and specialized agencies by encouraging and providing support to Small and Medium Enterprises (SMEs) in the implementation of good corporate governance measures.

**Steve Pinchart**  
Responsable Climat  
des Affaires,  
EUROCHAM

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### **Fight Against Facilitation Payments Initiative (FAFPI)**

The Fight Against Facilitation Payments Initiative (FAFPI) is a collective multi-stakeholder response to the frustration of the individual company or organisation of not being able to address facilitation payments on its own.

FAFPI members work to change the rules of the game by creating solid internal company and organisational policies and gathering data on when and where they meet demands for facilitation payment.

**Ken Graversen**  
Co-Founder, Fight  
Against Facilitation  
Payments Initiative

FAFPI consists of two parts. The first is best practice where members exchange experience and best practice on fighting facilitation payments. The other is Collective Action, in accordance with which, members report the demands for facilitation payments they meet, through the FAFPI reporting tool.



### **Anti-Corruption Collective Action Impact Centre (ACAC)**

The Anti-Corruption Collective Action Impact Centre (ACAC) is designed to provide educational services, promote research, and foster the practical implementation of Collective Action initiatives.

Through its flagship programme, the Anti-Corruption Collective Action Certificate (ACA), the Centre promotes the practical implementation of Collective Action based on a tailored methodology and close guidance. The programme offers two interdisciplinary online modules that concentrate on concepts and applied perspectives for preventing and countering corruption through Collective Action. Participants are also required to implement anti-corruption Collective Action projects, enhancing the knowledge and skills gained from the classes/training, under continuous guidance and support provided by the International Anti-Corruption Academy (IACA).

**Martin Zapata**  
Academic  
Programmes Senior  
Officer, International  
Anti-Corruption  
Academy  
  
@IACA\_Academy

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## **Maritime Anti-Corruption Network (MACN) Regional Expansion: Collective Action against maritime corruption in India, Pakistan and Bangladesh**

The Maritime Anti-Corruption Network (MACN) is a global business network working towards its vision of a maritime industry free of corruption that enables fair trade to the benefit of society at large.

MACN members promote good corporate practice in the maritime industry for tackling bribes, facilitation payments, and other forms of corruption. They do this by adopting the MACN Anti-Corruption Principles, communicating progress on implementation, sharing best practices, and creating awareness of industry challenges.

MACN also collaborates with key stakeholders, including governments, authorities, and international organisations, in markets where corruption is prevalent to its membership, to identify and mitigate the root causes of corruption in the maritime industry.

**Martin Benderson,**  
Associate Director,  
Collective Action &  
Partnership  
Development,  
Maritime Anti-  
Corruption Network

Through its regional expansion project, MACN will provide integrity training to the next generation of seafarers as well as public officials interacting with the shipping industry in India, Pakistan and Bangladesh. The project will also equip maritime professionals and companies in the region with innovative, streamlined, and community-driven compliance tools. MACN's successful Anti-Corruption Help Desk, which has helped resolve corruption incidents in Ukraine and Nigeria, will also be implemented in India.



## **Fair Market Conditions for Competitiveness**

This business integrity project supports the creation of a level-playing field and fair market conditions in OECD partner countries in different world regions to enhance competitiveness and integrity in a sustainable and inclusive way. The project aims to gather government officials, business representatives, civil society and academia to form a "Collective Action Community" to address country-specific drawbacks by applying international standards. The initiative, which was launched in 2019, first focused on three Western Balkan economies and was expanded, in 2021, to include six economies on three continents. Through this project the OECD seeks to:

- Raise awareness about OECD standards and good practices of anti-corruption, integrity and fair competition for competitiveness with governments, business and civil society
- Build capacity and foster the implementation of concrete OECD recommendations with regard to the transparency and efficiency of anti-corruption and competition authorities
- Promote the latest knowledge on international standards and practices in the area of anti-corruption and integrity for competitiveness in academic curricula

**Hendrik  
Bosshammer**  
Project Manager,  
OECD

@OECD

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## Oslo Anti-Corruption Conference

Initiated in 2014, the Oslo Anti-Corruption Conference involves a unique collaboration between stakeholders in the private and public sectors, civil society and international organisations. It is organized by Transparency International Norway, the Norwegian National Authority for Investigation and Prosecution of Economic and Environmental Crime (ØKOKRIM), Law Firm Selmer AS and Kvamme Associates AS.

The conference focuses primarily on new trends and developments in anti-corruption. It brings together practitioners and experts to discuss new preventive initiatives on an operational basis with participants working in the field of anti-corruption from both governmental levels, private companies, the public sector, the development, aid and civil society, and search for the possibilities for expanded cooperation between the different actors to possibly achieve vital changes (“Collective Action”).

**Helge Kvamme**  
Chair and Co-  
Founder of the Oslo  
Anti-Corruption  
Conference

@Antikorrkonf



## Virtuous alliance: Small and medium-sized enterprises (SMEs) and state-Owned Enterprises (SOEs). Co-creating environments of integrity for sustainable and inclusive growth

The círculos virtuosos project is implemented by the Fundación Poder Ciudadano, a national chapter of Transparency International. It focuses on the co-creation of integrity environments for sustainable and inclusive growth in Argentina.

The goals are to: (a) raise awareness about the importance of integrity as a condition for improving the competitiveness of small and medium-sized enterprises (SMEs) and state-owned enterprises (SOEs) in the energy sector in Argentina; (b) strengthen SMEs and SOEs, through the incorporation and elevation of compliance standards; (c) promote preferential public policies and regulations in order to improve SMEs participation in public procurements, as a mechanism of decartelization and fight against corruption.

**Inés Castresana**  
Private Sector and  
Compliance Project  
Director, Poder  
Ciudadano

@poderciudadano



## The Ethics Institute (TEI)

The Ethics Institute (TEI) is currently leading two Collective Action projects. In Mozambique, TEI focuses on strengthening business integrity capacity and reducing corruption in business activities through a Coalition for Organisational Integrity (COI). In addition, TEI implements an ethics and anti-corruption training program for small-and-medium enterprises (SMEs).

In South Africa, based on experience with local government, TEI and relevant national government entities conceptualised an intervention for developing a national code of ethical governance in municipalities to address municipal and procurement corruption. TEI also implements an Ethically Aware Supplier Induction (EASI) training and accreditation programme that will allow SMEs to be accredited as ‘Ethically Aware Suppliers’. Furthermore, TEI builds the capacity in the Gauteng Provincial Government to support municipalities’ ethics initiatives. The development of an ethics management monitoring and reporting tool will assist ethics officers structure interventions and improve reporting to oversight structures.

**Celia Lourens**  
Project Manager,  
The Ethics Institute

@EthicsInst



## **Bolstering integrity in public contracting: A reinvigorated approach and coalition on integrity pacts**

**Antonio Greco**  
Public Contracting  
Specialist,  
Transparency  
International  
Secretariat

@anticorruption

Transparency International has promoted the use of integrity pacts for many years. The goal of the project is to reinvigorate the integrity pact as a golden standard for public procurement integrity and expand global and national coalitions promoting its adoption in critical public investments and contracting projects.

Activities include the development of a renewed integrity pact model that is more in line with public contracting trends in the 21st century and advocacy activities at the global level as well as in three selected countries (Spain, Romania, Argentina).



## **Leveling The Playing Field with the Turkish Integrity Center of Excellence**

**Neslihan Yaka**  
Secretary General,  
TEİD Ethics and  
Reputation Society

@voice\_of\_ethics

The Turkish Integrity Center of Excellence (TICE) was established by the Ethics and Reputation Society of Turkey (TEİD) in 2014 with the mission of levelling the playing field by including the private sector in the prevention of corruption.

TEİD has over 180 corporate members with a total yearly income that exceeds 15% of Turkey's GDP, employing more than 800.000 employees. All corporate members are signatories of TEİD's Declaration of Integrity, which is Turkey's biggest cross-sectoral Collective Action initiative.

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## **Scaling up Anti-Corruption Collective Action within Global Compact Local Networks**

The project by the Foundation for the Global Compact adopts a three-pronged approach:

- (1) Develop a Collective Action Playbook for Global Compact Local Networks to mainstream the understanding and uptake of Collective Action
- (2) Global launch and roll-out of the Playbook to enable Global Compact Local Networks in understanding and using the Collective Action methodology to identify and address corruption issues and
- (3) Support Global Compact Local Networks in Brazil, India, Kenya and Ukraine in their Collective Action initiatives.

Underlying this approach is an overarching goal of facilitating public-private cooperation on Collective Action through policy dialogue and engagement with governments and non-business stakeholders.

### **Advancing Collective Action Against Corruption through Global Compact Local Networks**

The project aims to build and expand on current activities within the project *Scaling up Anti-Corruption Collective Action within Global Compact Local Networks* by:

- Advancing the adoption of the *Uniting against Corruption: A Playbook on Anti-Corruption Collective Action* as a global resource,
- Enabling Global Compact Local Networks to initiate and facilitate local and regional Collective Action initiatives and
- Scaling the UN Global Compact's engagement in public-private policy dialogues to bring the private sector voice to the global anti-corruption agenda.

To this end, the project adopts a three-pronged approach:

- (1) Transform the Collective Action Playbook into an e-learning course to increase the understanding and uptake of the Collective Action methodology,
- (2) Support ten Global Compact Local Networks, including Bangladesh, Brazil, Egypt, India, Indonesia, Kenya, Malaysia, Thailand and Ukraine, in developing and carrying out Collective Action initiatives and
- (3) Strategically engage with governments and other relevant stakeholders on current global thematic focus areas to enhance public-private cooperation in the fight against corruption.

**Ashley Demming**  
Manager, Anti-Corruption, United Nations Global Compact

@globalcompact

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## United Nations Office on Drugs and Crime (UNODC):

The United Nations Office on Drugs and Crime (UNODC) implements five Collective Action projects which aim to reduce corruption by strengthening legal frameworks, public-private dialogue and private sector capacity in accordance with the relevant provisions of the United Nations Convention against Corruption (UNCAC).

- Global Action for Business Integrity
- Global Integrity Education
- Strengthening the private sector capacity to prevent corruption and enhance integrity in the Arab Countries
- *On the Level*: Business and Government against Corruption in Colombia
- Strengthening the Integrity and Anti-Corruption Efforts of the Private Sector in Myanmar

**Florian Lair**  
Associate Crime  
Prevention and  
Criminal Justice  
Officer, UNODC

@UNODC\_AC



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## Integrity collaboration in international supply chains

The PRME Business Integrity Action Center at the University of Applied Sciences of the Grisons is leading an initiative to support companies in putting Collective Action into practice to enhance integrity and corporate responsibility along their international supply chains.

Co-funded by Innosuisse, the initiative is focused on developing a Collective Action (CoAct) Toolkit, an innovative, evidence-based tool to successfully initiate and implement integrity collaboration in the international supply chain. It enables companies in an intercultural context to prepare, implement and communicate targeted Collective Action.

**Christian Hauser**  
Professor, University  
of Applied Sciences  
of the Grisons

The CoAct Toolkit encompasses a successful practices handbook and a Train-the-Trainer concept. It is being developed and tested with the help of the four companies.



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## Good governance in a digital and open trading environment

The “Good governance in a digital and open trading environment” project is being undertaken by the Institute for Austrian and International Tax Law at the Vienna University of Economics and Business.

The aim of the project is to achieve more effective actions against corruption and other forms of illicit financial flows in African countries. To do so, the project's activities are covering three aspects: (i) exploring how digital technologies can improve the response of law enforcement bodies; (ii) ensuring the interests of these bodies are taken into account as the African Trade Agreement is implemented and (iii) engaging civil society organisations in this debate.

**Ivan Lazarov**  
Senior Researcher,  
Vienna University of  
Economics and  
Business

@taxlawvienna

The Vienna University of Economics and Business provides the underlying academic research and facilitates meetings between tax authorities, financial intelligence units and other stakeholder to share experiences and best practices.

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## The Wolfsberg Group

The Wolfsberg Group is an association of thirteen global banks which aims to develop frameworks and guidance for the management of financial crime risks, particularly with respect to Know Your Customer, Anti-Money Laundering and Counter Terrorist Financing policies.

**Pauline Blu**  
Administration,  
Analysis and  
Training Coordinator

**Federica Maschera**  
Research and Policy  
Analyst

Main activities include:

- Standard setting for the Wolfsberg Group of banks on financial crime risks;
  - Participation in supranational fora for legislative developments in the field of financial crime;
  - Stakeholder engagement with Members, industry (non-member banks), regulators and civil society;
  - Education and training.
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